10/594038

IAPO1 Rec'd PCT/PTO 25 SEP 2006

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q97175

Susumu YOSHIDA, et al.

Appln. No.: National Stage of PCT/JP2005/005555

Confirmation No.: Not yet assigned Group Art Unit: Not yet assigned

Filed: September 25, 2006 Examiner: Not yet assigned

For: PLAYBACK APPARATUS FOR RECORDING MEDIA

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents (International Search Report for PCT/JP2005/005555 dated May 10, 2005), together with an English-

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language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office. Also, JP-A-2002-304800 is cited and discussed on page 3 of the specification of the present application, and English-language abstracts, submitted herewith, constitute concise statements of relevance for JP-A-2004-63017; JP-A-2000-285565 and JP-A-2002-304800. In addition, the listing JP-A-2004-63017 corresponds to the listed U.S. Patent Publication No. 2004/0057349.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

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CUSTOMER NUMBER

Date: September 25, 2006

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Substitute for Form 1449 A & B/PTO				Application Number	National Stage of PCT/JP2005/005555	
INFORMATION DISCLOSURE			URE	Confirmation Number	Not yet assigned	
STATEMENT BY APPLICANT			ANT	Filing Date	September 25, 2006	
(use as many sheets as necessary)				First Named Inventor	Susumu YOSHIDA	
				Art Unit	Not yet assigned	
				Examiner Name	Not yet assigned	
Sheet	1	of	1	Attorney Docket Number	Q97175	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document N		Publication Date MM-DD-YYYY		
		Number	Kind Code ² (if known)		Name of Patentee or Applicant of Cited Documen	
		US 2004/0057349	Al	03-25-2004	HIROTO NISHIDA et al.	
		US				
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FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No.1	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	
	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		JР	2004-63017	A	02-26-2004	MATSUSHITA ELECTRIC IND. CO., LTD.	Abstract
		JP	2000-285565	Α	10-13-2000	PIONEER ELECTRONIC CORP.	Abstract
		EP	1 041 552	A1	10-04-2000	PIONEER CORPORATION	
		JP	2002-304800	A	10-18-2002	PIONEER ELECTRONIC CORP.	Abstract

NON PATENT LITERATURE DOCUMENTS				
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.		
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.